

# STN<sup>®</sup>

Searching surfactants and detergents on STN

FIZ Karlsruhe

**STN**

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and Chemical Abstracts Service, U.S.A.

# Agenda

- Surfactant subject indexing CAplus<sup>SM</sup>
- Surfactant substance indexing in REGISTRY
- Extending searches to include DWPI<sup>SM</sup>

# Surfactants in CPlus and REGISTRY

1. General Indexing Policies
2. Classification Code
3. Controlled Terms and Linking Terms
4. General Substance Indexing Policies
5. Surfactant Classification
6. Examples:
  - Anionic Surfactants
  - Cationic Surfactants
  - Nonionic Surfactants
  - Amphoteric Surfactants
7. Summary

# 1. General Indexing Policies

The various aspects of surfactants that are covered by CAS

- Preparation of surfactants
- Chemistry of surfactants and surfactant compositions
- Physical chemistry of surfactants
- Formulations with surfactants (e.g. detergent formulations)
- Uses of surfactants

# 1. General Indexing Policies

Surfactants are indexed when they are:

- the novelty of a patent
- the focus of a research paper
- reported in the literature with new data
- prepared and characterized
- prophetic substances in the example part of a patent
- of interest for a particular application

# 1. General Indexing Policies

- Surfactants are not indexed when they are:
  - incidental to the main study (e.g. as an emulsifier in emulsion polymerization with no emphasis on the emulsifier)
  - common constituents in detergent formulations are not indexed when the interest is in the overall composition or in other constituents

# 1. General Indexing Policies

- Detergent formulations are indexed:
  - with their ingredients (RNs) and an appropriate text modification, e.g. “cleaning composition”
  - with the RN of the mixture when the constituents are deliberately admixed and remain discrete in the medium

## 2. Classification Code (/CC)

Section Code 46 (since 1967)

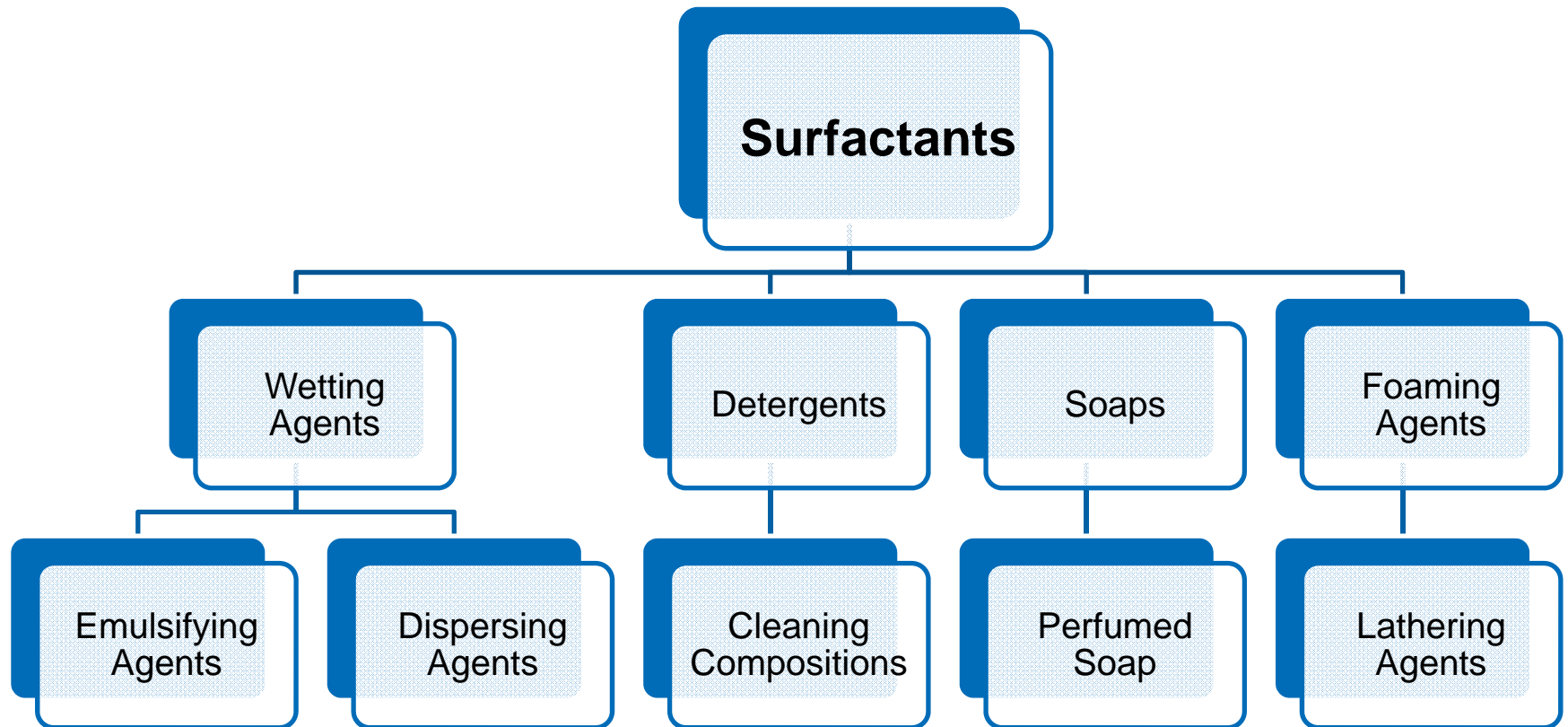
There are several old sections for this topic.

Remember: Some CA section titles and numbers have changed over time.

Use the CA Section thesaurus in the /cc field to find the history of that section.

# 3. Controlled Terms and Linking Terms

## Controlled Term Hierarchy



## 4. General Substance Indexing Policies

The indexing of individual surfactants depends on how they can be handled for registration. They are indexed:

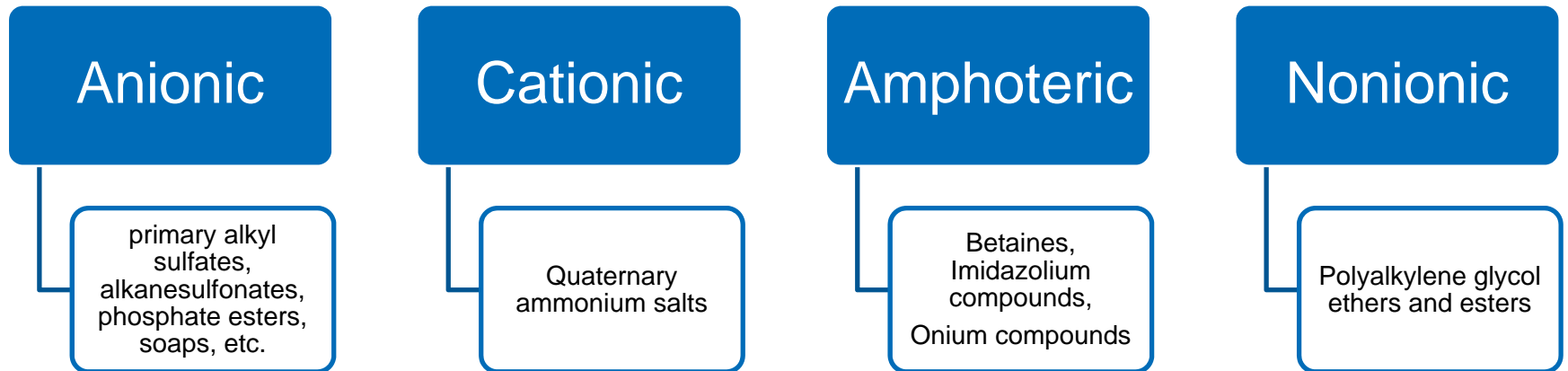
- At their own Registry Number when fully defined.
- At the Registry Number for only a portion of the molecule when part of it (usually alkyl or aryl portion) is unspecified, specified in terms of a C range, or unstructurable.
- Without any Registry Number when no portion of the molecule is sufficiently defined for registration.

## 4. General Substance Indexing Policies

Trade names for surfactants may be:

- Registered as trade names (without a structure)
- Cross-referred to a chemical name and registered (with a structure)
- Cross-referred to a controlled-term substance heading (e.g. Alcohols) with structural information provided in the text modification
- Cross-referred to a controlled-term use heading such as detergents.

# 5. Surfactant Classification



## 6. Examples - Anionic Surfactants

### Example: Alkylarenesulfonates

Fully defined and fully structurable alkylarenesulfonates are indexed at their CAS Registry Number. Variations in linearity, position of ring substitution, and salt specification will, however, result in different Registry Numbers for the same basic substance. Indexing will reflect how the substance is described by the author.

## 6. Examples - Anionic Surfactants

### Example: Alkylarenesulfonates

Docecylbenzenesulfonate – linear alkyl assumed

RN 27176-87-0 REGISTRY

IN Benzenesulfonic acid, dodecyl-

RN 25155-30-0 REGISTRY

IN Benzenesulfonic acid, dodecyl-, sodium salt

RN 2211-98-5 REGISTRY

IN Benzenesulfonic acid, 4-dodecyl-, sodium salt

*Search Tip: For comprehensiveness, the RN of all relevant variants of registration should be included in a search. Also, the RN of the unsubstituted Benzenesulfonic acid should be searched with appended “D” and linked to “alkyl”.*

## 6. Examples - Anionic Surfactants

### Example: Alkylarenesulfonates

#### Partial Registration

Partially defined or partially structurable alkylarenesulfonates can be searched using the Registry Number for only a portion of the molecule. The unstructurable or undefined portion must be searched as derivative information in the text modification at the Registry Number.

## 6. Examples - Anionic Surfactants

### Example: Alkylarenesulfonates

#### Partial Registration

#### Na alkylbenzenesulfonate

*Preferred indexing with:* Benzenesulfonic acid [98-11-3]  
**(The salt is not included in the RN)**

*Typical CA File index entry:*  
98-11-3D, Benzenesulfonic acid, alkyl derivs., sodium salt

## 6. Examples - Anionic Surfactants

### Example: Fatty Alcohol Sulfates

#### Full Registration

Fully defined and fully structurable fatty alcohol sulfates are indexed at their CAS Registry Number.

#### No Registration

Partially defined or partially structurable alkyl sulfates generally have no portion of the molecule indexed using a Registry Number. The entire molecule must be searched as text terms in CPlus.

## 6. Examples - Anionic Surfactants

### Anionic Surfactants - Full Registration

Find studies for the toxicity of Sodium laurylsulfonate

```
=> FIL REG
```

```
=> E SODIUM LAURYSULFONATE/CN
```

```
E1      1      SODIUM LAURYSARCOSINE/CN
E2      1      SODIUM LAURYSULFONAMIDOACETATE/CN
E3      1  --> SODIUM LAURYSULFONATE/CN
E4      1      SODIUM LAURYLTRIOXYETHYLENE SULFATE/CN
E5      1      SODIUM LAVRATE/CN
```

```
=> S E3
```

```
L1      1  "SODIUM LAURYSULFONATE"/CN
```

L1 ANSWER 1 OF 1 REGISTRY COPYRIGHT 2011 ACS on STN  
RN 2386-53-0 REGISTRY  
ED Entered STN: 16 Nov 1984  
CN 1-Dodecanesulfonic acid, sodium salt (1:1) (CA INDEX NAME)  
OTHER CA INDEX NAMES:  
CN 1-Dodecanesulfonic acid, sodium salt (8CI, 9CI)  
CN Dodecanesulfonic acid, sodium salt (7CI)  
OTHER NAMES:  
CN Acme SLS  
O o o HO3S—(CH2)11—Me  
CN Sodium laurylsulfonate  
CN Sodium n-dodecylsulfonate ● Na  
CN Stepanol PCK  
DR 12640-85-6, 163883-58-7, 139874-16-1  
MF C12 H26 O3 S . Na  
CI COM  
LC STN Files: AGRICOLA, ANABSTR o o o  
CRN (1510-16-3)

**\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\***

3892 REFERENCES IN FILE CA (1907 TO DATE)

37 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA

3953 REFERENCES IN FILE CAPLUS (1907 TO DATE)

## 6. Examples - Anionic Surfactants

For comprehensiveness, Registry Number for laurylsulfonate should also be included:

### => E LAURYSULFONATE/CN

E13	1	LAURYSULFATE GLYCINE SALT/CN
E14	1	LAURYSULFOBETAINE/CN
E15	1 -->	LAURYSULFONATE/CN
E16	1	LAURYSULFONIC ACID/CN
E17	1	LAURYSULFONIC ACID SODIUM SALT/CN

### => S E15

L2	1	LAURYSULFONATE/CN
----	---	-------------------

L2 ANSWER 1 OF 1 REGISTRY COPYRIGHT 2011 ACS on STN  
RN 38480-64-7 REGISTRY  
ED Entered STN: 16 Nov 1984  
CN 1-Dodecanesulfonic acid, ion(1-) (CA INDEX NAME)  
OTHER NAMES:  
CN Dodecylsulfonate  
CN Dodecylsulfonate(1-)  
CN Laurylsulfonate  
MF C12 H25 O3 S  
CI COM  
LC STN Files: ANABSTR, BIOSIS, BIOTECHNO o o o



**\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\***

139 REFERENCES IN FILE CA (1907 TO DATE)

23 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA

139 REFERENCES IN FILE CAPLUS (1907 TO DATE)

# 5. Examples - Anionic Surfactants

=> FIL CAP

=> S L1/ADV

3953 L1

947951 ADV/RL

L3 17 L1/ADV

(L1 (L) ADV/RL)

=> S L2/ADV

139 L2

947951 ADV/RL

L4 1 L2/ADV

(L2 (L) ADV/RL)

## 6. Examples - Anionic Surfactants

### Anionic Surfactants - No Registration

Find studies on tallow-alkyl sulfate surfactants

```
=> FIL CAP
```

```
=> S TALLOW (L) ALKYL (W) SULFATE? (L) (SURFACTANT?  
OR DETERGENT? OR EMULSIF?)
```

```
L1          148 TALLOW (L) ALKYL (W) SULFATE? (L)  
            (SURFACTANT? OR DETERGENT? OR  
            EMULSIF?)
```

Some references may also be found using the RN for sulfuric acid

```
=> S 7664-93-9d (L) TALLOW (L) ALKYL
```

```
L5          16 7664-93-9D (L) TALLOW (L) ALKYL
```

```
=> S L5 NOT L1
```

```
L8          9 L5 NOT L1
```

## 6. Examples - Cationic Surfactants

### Example: Quaternary Ammonium Compounds

#### Full Registration

Fully defined and fully structurable quaternary ammonium compounds are indexed at their CAS Registry Number.

#### No Registration

Partially defined or partially structurable quaternary ammonium compounds generally have no portion of the molecule indexed using a Registry Number. The entire molecule must be searched as text terms in CAplus.

## 6. Examples - Cationic Surfactants

### Cationic Surfactants - Full Registration

#### Cetyltrimethylammonium chloride

=> FIL REG

=> E CETYLTRIMETHYLAMMONIUM CHLORIDE/CN

E1	1	CETYLTRIMETHYLAMMONIUM CATION/CN
E2	1	CETYLTRIMETHYLAMMONIUM CHLORATE/CN
E3	1 -->	CETYLTRIMETHYLAMMONIUM CHLORIDE/CN
E4	1	CETYLTRIMETHYLAMMONIUM CHLORIDE COMPD. WITH P-PHENYLPHENOL (1:1)/CN
E5	1	CETYLTRIMETHYLAMMONIUM CHLORIDE HEMIHYDRATE/CN

=> S E3

L1 1 "CETYLTRIMETHYLAMMONIUM CHLORIDE"/CN

*Slide continues on the next page*

L1 ANSWER 1 OF 1 REGISTRY COPYRIGHT 2011 ACS on STN  
 RN 112-02-7 REGISTRY  
 ED Entered STN: 16 Nov 1984  
 CN 1-Hexadecanaminium, N,N,N-trimethyl-, chloride (1:1)  
 (CA INDEX NAME)  
 OTHER CA INDEX NAMES:  
 CN 1-Hexadecanaminium, N,N,N-trimethyl-, chloride (9CI)  
 CN Ammonium, hexadecyltrimethyl-, chloride (8CI)  
 CN Hexadecyltrimethylammonium chloride (6CI)  
 OTHER NAMES:  
 CN 1631CL  $\text{Me}_3^+\text{N}-(\text{CH}_2)_{15}-\text{Me}$   
 CN Adogen 444  
 CN Adogen 444-29  
 O O O ● Cl<sup>-</sup>  
 CN Cetyltrimethylammonium chloride

## 6. Examples - Cationic Surfactants

### Cationic Surfactants - No Registration

Use controlled term “Quaternary ammonium compounds” linked with nomenclature term.

*Example: Coco alkyl quaternary ammonium compounds*

=> E QUATERNARY AMMONIUM COMPOUNDS/CT

E#	FREQUENCY	AT	TERM
--	-----	--	----
E1	100	2	QUATERNARY AMMONIUM COMPDS./CT
E2	0	2	QUATERNARY AMMONIUM COMPDS. (L) BENZYLDMETHYLTALLOW ALKYL, CHLORIDES/CT
E3	51669	96 -->	QUATERNARY AMMONIUM COMPOUNDS/CT

=> E E3+LT

E109 51669 --> Quaternary ammonium compounds/CT  
E110 LT Quaternary ammonium compounds (L)  
(2-((2-((2-carboxyethyl)(2-hydroxyethyl)amino)ethyl)ami  
no)-2-oxoethyl)coco alkyldimethyl, inner salts/CT  
E111 LT Quaternary ammonium compounds (L)  
(2-ethylhexyl)(hydrogenated coco alkyl)dimethyl,  
chlorides/CT  
E112 LT Quaternary ammonium compounds (L)  
(2-ethylhexyl)(hydrogenated rape-oil alkyl)dimethyl,  
chlorides/CT  
E115 LT Quaternary ammonium compounds (L)  
(2-ethylhexyl)dimethyltallow alkyl, chlorides/CT  
E116 LT Quaternary ammonium compounds (L)  
(2-hydroxy-C12-14-alkyl)trimethyl, chlorides/CT  
E144 LT Quaternary ammonium compounds (L) C17-20-alkyl/CT  
E155 LT Quaternary ammonium compounds (L) alkyl/CT

=> S QUATERNARY AMMONIUM COMPOUNDS/CT (L) COCO AND (SURFACTANT? OR  
DETERGENT? OR EMULSIF?)

L3 365 QUATERNARY AMMONIUM COMPOUNDS/CT (L) COCO AND (SURFACTANT? OR  
DETERGENT? OR EMULSIF?)

# Answers in CPlus

All in one index field:

IT **Quaternary ammonium compounds**

RL: AGR (Agricultural use); BIOL (Biological study); USES (Uses)  
(**coco** alkyltrimethyl, chlorides, Arquad C 50, **surfactant**; herbicide compns. containing specific sulfonium urea compound and adjuvants for weed control)

Different index fields

IT **Quaternary ammonium compounds**

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)  
(alkylbenzyl dimethyl, chlorides, **coco-**; biocidal solution comprising chlorhexidine salt, alkyl dimethylbenzyl ammonium halide, dialkyl dimethylammonium halide, and acid)

IT **Surfactants**

(amphoteric; biocidal solution comprising chlorhexidine salt, alkyl dimethylbenzyl ammonium halide, dialkyl dimethylammonium halide, and acid)

## 6. Examples - Nonionic Surfactants

### Example: Ethoxylated Fatty Alcohols

#### Full Registration

Fully defined and fully structurable polyethylene glycol ethers with fatty alcohols are indexed at their CAS Registry Number.

#### Partial Registration

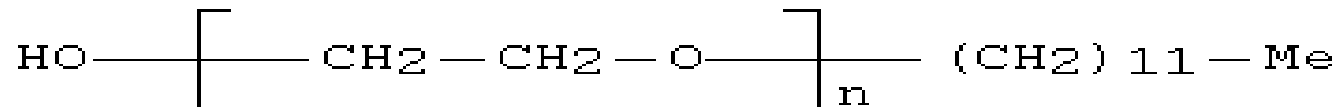
Partially defined or partially structurable polyethylene glycol ethers with fatty alcohols can be searched as derivative information at Registry Number for only the polyethylene glycol portion. The undefined or unstructurable portion (fatty alcohol) entire molecule must be searched as text terms in CAplus.

## 6. Examples - Nonionic Surfactants

### Nonionic Surfactants - Full Registration

#### Polyethylene glycol monodecyl ether

RN 9002-92-0 REGISTRY  
ED Entered STN: 16 Nov 1984  
CN Poly(oxy-1,2-ethanediyl), .alpha.-dodecyl-.omega.-hydroxy- (CA INDEX NAME)



Post treated polymers, like esters or salts will be indexed in Registry

## 6. Examples - Nonionic Surfactants

### Nonionic Surfactants - Full Registration

Two index entries are made:

1. Polyethylene glycol [25322-68-3] linked with text term “alkyl ethers”
2. Alcohols (Controlled term) linked with “ethoxylated”

As not always both entries are made in one record, search with both queries. Refine with the number of the Alkyl-Carbon atoms

```
=> s (POLYETHYLENE GLYCOL (L) C11-15(W)ALKYL  
ETHERS) OR (ALCOHOLS/CT (L) C11-15(W)ETHOXYLATED)  
L16          62 (POLYETHYLENE GLYCOL (L) C11-15 (W)ALKYL  
ETHERS) OR (ALCOHOLS/CT (L) C11-15 (W)  
ETHOXYLATED)
```

L10 ANSWER CAPLUS COPYRIGHT 2011 ACS on STN  
 AN 2003:590042 CAPLUS  
 DN 140:129966  
 TI Fundamental insight into the mechanism of oxygen delignification  
 AU Chen, Sheng-Li; Lucia, Lucian A.  
 CS Institute of Paper Science and Technology..  
 SO Cellulose Chemistry and Technology . . .  
 IT **Alcohols**, uses  
 RL: TEM (Technical or engineered material use); USES (Uses)  
 (**C11-15, ethoxylated**, surfactant;  
 application of polyethylene glycol ether surfactants in  
 oxygen bleaching of kraft pulps)  
 IT Polyoxyalkylenes, uses  
 RL: TEM (Technical or engineered material use); USES (Uses)  
 (**C11-15-alkyl ethers**,  
 surfactant; application of polyethylene glycol  
 ether surfactants in oxygen bleaching of kraft pulps)  
 IT **25322-68-3D, Polyethylene glycol, C11-**  
**15-alkyl ethers** 60828-78-6  
 RL: TEM (Technical or engineered material use); USES (Uses)  
 (surfactant; application of polyethylene glycol  
 ether surfactants in oxygen bleaching of kraft pulps)

## 6. Examples - Amphoteric Surfactants

### Example - Betaines

#### Full Registration

Fully defined and fully structurable betaines are indexed at their CAS Registry Number.

#### No Registration

Partially defined or partially structurable betaines are indexed at controlled terms: “Betaines” or “Onium compounds”, or on the compound class heading.

# 6. Examples - Amphoteric Surfactants

## Betaines – No Registration

### Imidazolium surfactants

=> FIL CAP

=> E BETAINES+ALL

E13 14007 BT3 Chemical compounds/CT

E14 56497 BT2 Organic compounds/CT

E15 8494 BT1 Onium compounds/CT

E16 5697 --> Betaines/CT

HNTE Valid heading during volume 1 (1907) to present.

E17 UF Trialkyl(carboxyalkyl) betaines/CT

E18 UF Trialkyl(carboxyalkyl)ammonium inner salts/CT

E19 0 NT1 Acetyl-L-carnitine/CT

E20 0 NT1 Carnitine/CT

E21 0 NT1 Cocoamidopropyl betaine/CT

E22 0 NT2 Lauramidopropylbetaine/CT

E23 0 NT1 Glycine betaine/CT

E24 0 NT1 Lauryldimethylaminoacetic acid betaine/CT

E25 835 NT1 Sulfobetaines/CT

E26 0 NT2 3-((3-Cholamidopropyl)dimethylammonio)-1-propan  
esulfonate/CT

\*\*\*\*\* END \*\*\*\*\*

*Slide continues on the next page*

=> E IMIDAZOLIUM COMPOUNDS/CT

E#	FREQUENCY	AT	TERM
--	-----	--	----
E27	0	2	IMIDAZOLIUM COMPOUND RECEPTORS (L) I1/CT
E28	0	2	IMIDAZOLIUM COMPOUND RECEPTORS (L) I2/CT
E29	535	2 -->	IMIDAZOLIUM COMPOUNDS/CT

=> E E29+ALL

E39 535 --> Imidazolium compounds/CT  
HNTE Valid heading during volumes 31-125 (1937-1996) only.

E40 NEW Onium compounds (L) imidazolium compds./CT

\*\*\*\*\* END \*\*\*\*\*



The Linked term is in the text modification

IT **Onium compounds**  
RL: MOA (Modifier or additive use); USES (Uses)  
(**imidazolium compds.**; water permeability modifiers  
for fibers for nonwoven fabrics)

=> S E16,E39,E40

L1 7772 (BETAINES/CT OR "IMIDAZOLIUM COMPOUNDS"/CT OR "ONIUM  
COMPOUNDS (L) IMIDAZOLIUM COMPDS."/CT)

(Set plurals on)

=> S L1 AND (SURFACTANT OR DETERGENT)

L2 3581 L1 AND (SURFACTANT OR DETERGENT)

Done: 07/2011

L3 3203 L2 AND BETAINES/CT

L4 211 L2 AND "ONIUM COMPOUNDS (L) IMIDAZOLIUM  
COMPDS."/CT

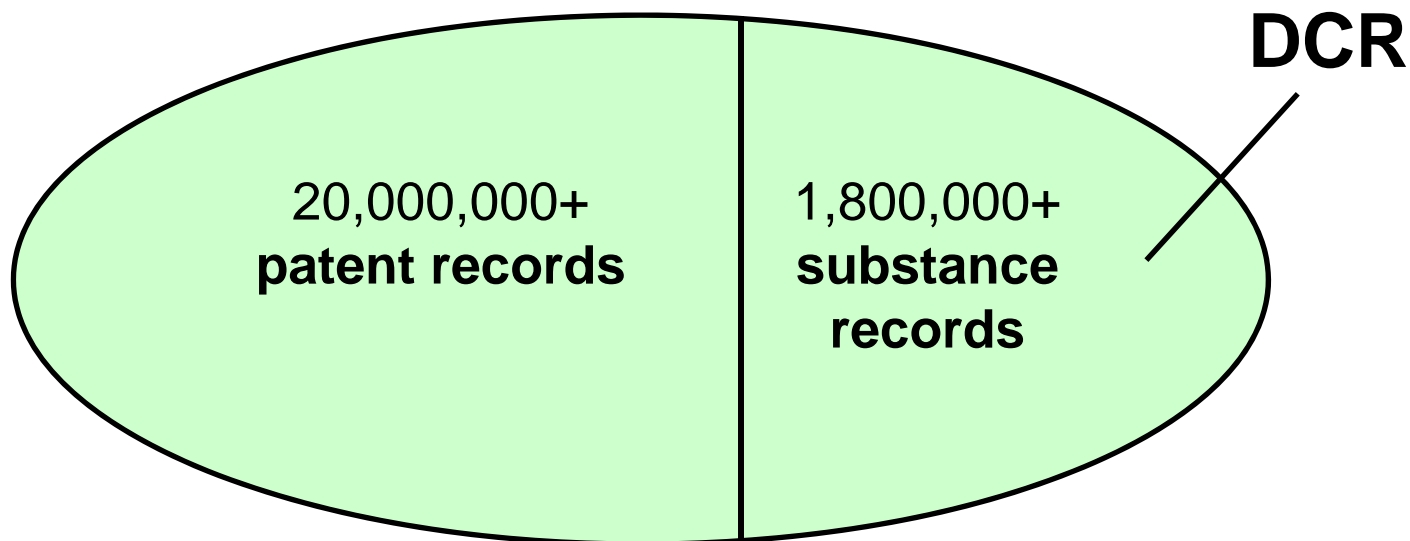
L5 279 L2 AND "IMIDAZOLIUM COMPOUNDS"/CT

## 7. Summary

- Use the CA thesaurus to find out the right terminology. The analysts have to index as precise as possible
- Use linking terms together with the controlled term to specify your surfactant
- Search for the Registry Number when the substance is fully defined
- Use the CAS RN for structurable portion of incompletely described compounds.
- Use controlled terms for incompletely described compounds, like betaines, alcohols, fatty acids
- Search in MARPAT for generic substances

# DWPI Chemistry Resource (DCR)

- DCR is a chemical structure database covering specific chemical structures indexed in DWPI bibliographic patent records
- An integral part of DWPI on STN since 1999
- Available to all users of DWPI



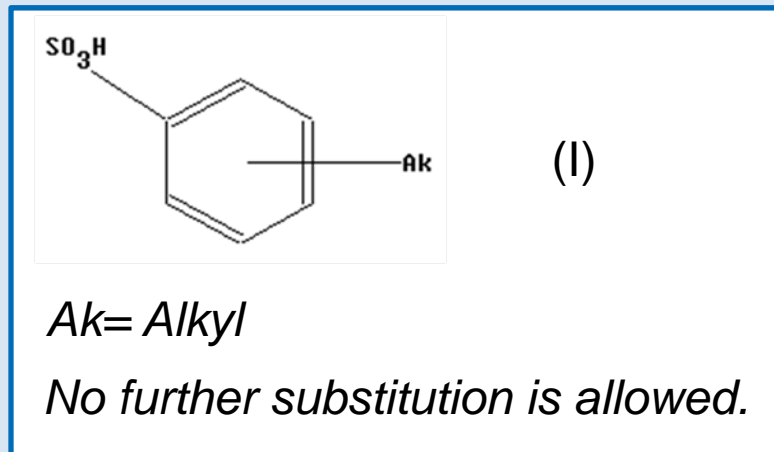
# DCR coverage

- Specific chemical compounds indexed by Thomson Reuters from basic patents in DWPI
- DWPI patents classified in Pharmaceutical (B), agrochemical (C) and/or general chemical (E)
- Comprehensive coverage began in 4/1999
- Selective coverage for approximately
  - 20,000 substances from 1/1987 to date
  - 2,100 substances from 7/1981 to date

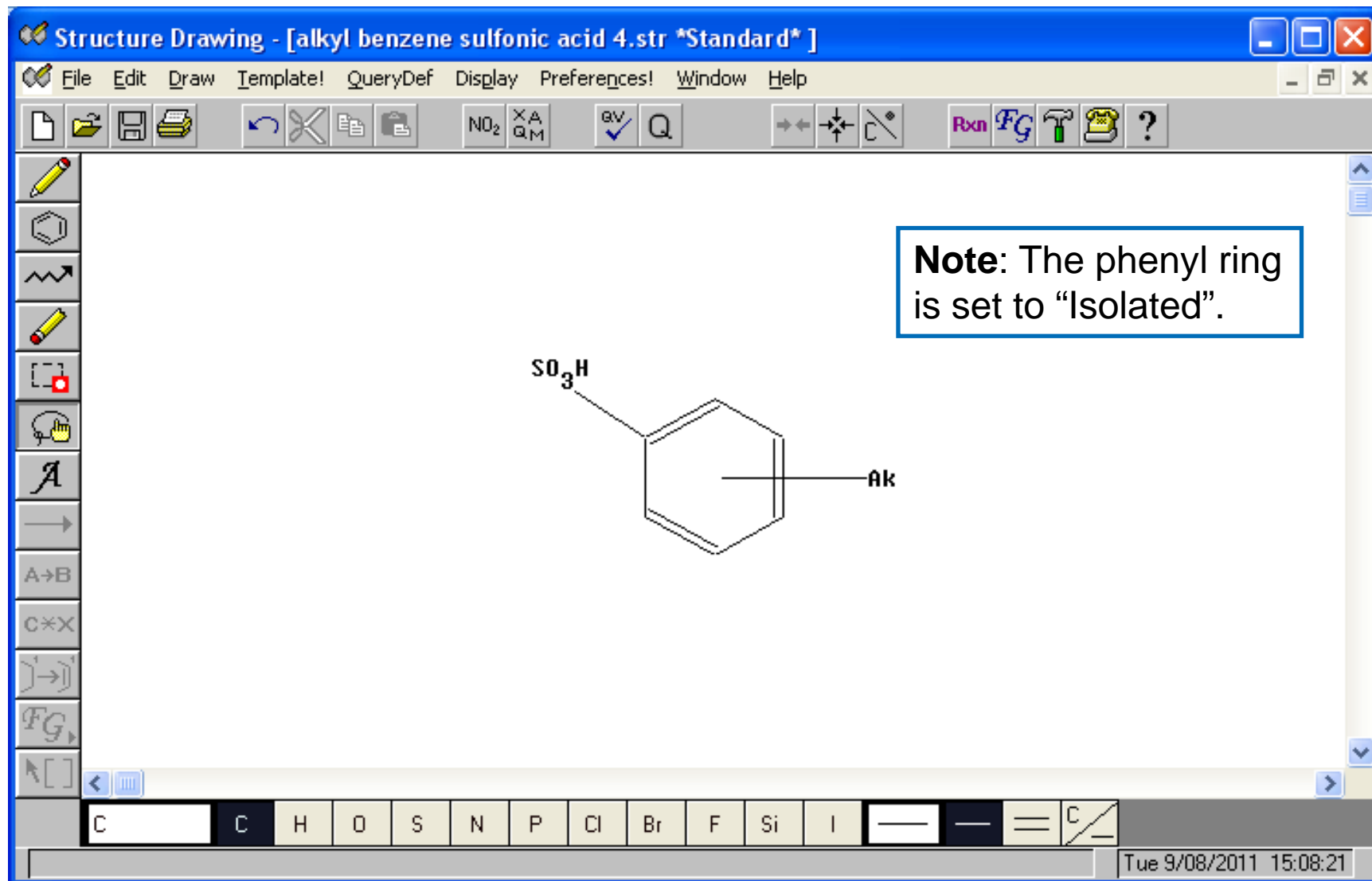
# CAS and DWPI search example

## Search Question:

Find patents describing the use of sodium alkyl benzene sulfonate(s) of formula (I), as surfactants, detergents, or emulsifiers:



# CAS and DWPI search example



# Upload and search the query in REGISTRY

=> FILE REGISTRY

The structure query (previous slide) is uploaded via STN Express (L1).

=>  
Uploading C:\ . . . . \Queries\alkyl benzene sulfonic acid 4.str

L1 STRUCTURE UPLOADED

=> S L1 CSS SAM

It's a good idea to conduct a free-of-charge preview sample search, and review answers using the free-of-charge format SCAN.

=> D SCAN

=> S L1 CSS FUL

FULL SEARCH INITIATED 16:34:24  
used to limit further substitution (L2).

. . . .

L2 32728 L1 CSS FUL

Limit to sodium salts and non-polymeric answers (L3).

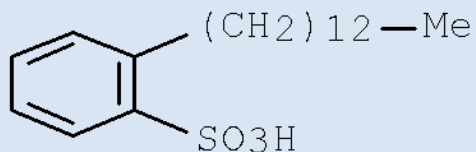
=> S L2 AND NA/ELS NOT PMS/CI

L3 290 S L2 AND NA/ELS NOT PMS/CI

# Review the answers retrieved using D SCAN

=> D SCAN L3

L3 290 ANSWERS REGISTRY COPYRIGHT 2011 ACS on STN  
IN Benzenesulfonic acid, 2-tridecyl-, sodium salt (1:1)  
MF C19 H32 O3 S . Na



The search retrieves a precisely defined group of compounds (L3).

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1): 3

L3 290 ANSWERS REGISTRY COPYRIGHT 2011 ACS on STN  
IN Benzenesulfonic acid, 3-propyl-, sodium salt (1:1)  
MF C9 H12 O3 S . Na

. . . .

# Search for incompletely defined structures (IDS)

=> S BENZENESULFONIC ACID (P) SODIUM (P) SALT

L4 17804 S BENZENESULFONIC ACID (P) SODIUM (P) SALT

=> S L4 AND C H O S/ELF AND 3/O AND 1/S AND 2/NC AND  
NA/ELS AND IDS/CI NOT PMS/CI

L5 251 S L4 AND C H O S/ELF AND 3/O AND 1/S AND 2/NC AND  
NA/ELS AND IDS/CI NOT PMS/CI

=> S L3 OR L5

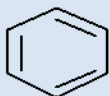
L6 539 S L3 OR L5

Incompletely defined (IDS/CI) sodium alky benzene sulfonates may be included for a more comprehensive search (L6).

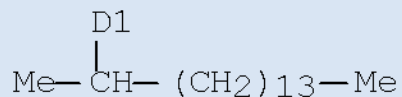
# Review the answers retrieved using D SCAN

=> D SCAN L6

L6 539 ANSWERS REGISTRY COPYRIGHT 2011 ACS on STN  
IN Benzenesulfonic acid, (1-methylpentadecyl)-, sodium salt  
(7CI, 9CI)  
MF C22 H38 O3 S . Na  
CI IDS



D1-SO<sub>3</sub>H



HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):2

. . . .

The search now includes some important, but incompletely defined structures (IDS) (L6).

# Retrieve the corresponding CPlus records

=> FILE HCAPLUS

=> S L6

L7 19493 S L6

The 539 substance records (L6) correspond to 19,493 bibliographic records in HCAplus (L7).

=> S 98-11-3D (L) ALKYL(W)DERIV? (L) SODIUM(W)SALT?

L8 2481 S 98-11-3D (L) ALKYL(W)DERIV? (L) SODIUM(W)SALT?

=> S L7 OR L8

L9 21701 S L7 OR L8

Add relevant non-specific derivatives of benzene sulfonic acid (RN 98-11-3) (L9).

=> S L9 (L) (SURFACTANT? OR DETERGENT? OR EMULSIF? OR CLEAN? OR SOAP?)

L10 8008 S L9(L)(SURFACTANT? OR DETERGENT? OR EMULSIF? OR CLEAN? OR SOAP?)

=> S L10 AND P/DT

L11 5141 S L10 AND P/DT

Limit the HCAplus search to surfactant and detergent uses and patents (L11).

# Example: CPlus answer retrieved

=> D L11 BIB HITIND 3

L11 ANSWER 3 OF 5141 HCAPLUS COPYRIGHT 2011 ACS on STN  
AN 2011:936722 HCAPLUS Full-text  
DN 155:183088  
TI Polycarbonate resin compositions containing impact modifiers formed  
from size-controlled latex particles and their moldings  
IN Imada, Akira  
PA Fuji Xerox Co., Ltd., Japan  
SO Jpn. Kokai Tokkyo Koho, 26pp.  
CODEN: JKXXAF  
DT Patent  
LA Japanese  
FAN.CNT 1

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	JP 2011144247	A	20110728	JP 2010-5292	20100113
PRAI	JP 2010-5292		20100113		

IPCI C08L0069-00 [I,A]; C08L0055-02 [I,A]; C08L0051-00 [I,A]; . . . .  
CC 37-6 (Plastics Manufacture and Processing)  
Section cross-reference(s): 38  
IT **25155-30-0, Sodium dodecylbenzenesulfonate** 26353-67-3,  
Formaldehyde- $\beta$ -naphthalenesulfonic acid copolymer  
RL: NUU (Other use, unclassified); USES (Uses)  
(**emulsifiers**; polycarbonate resin compns. containing impact  
modifiers formed from size-controlled latex particles and providing  
impact-resistant moldings)

HITIND display format,  
shows only the indexing  
with the hit search terms.

# Repeat the structure search in DCR

=> FILE WPIX

Access the Derwent World Patents Index (DWPI) (files [WPINDEX](#), [WPIDS](#) or [WPIX](#)).

=> S L1 CSS FUL

FULL SEARCH INITIATED 16:44:44 FILE 'WPIX'

. . . .

L12                    1855 L1 CSS FUL

Repeat the structure search ([L12](#)) using the same query ([L1](#)).

=> S L12 AND NA/ELS

L13                    43 L12 AND NA/ELS

Limit to sodium salts ([L13](#)).

# Review the answers retrieved using D SCAN

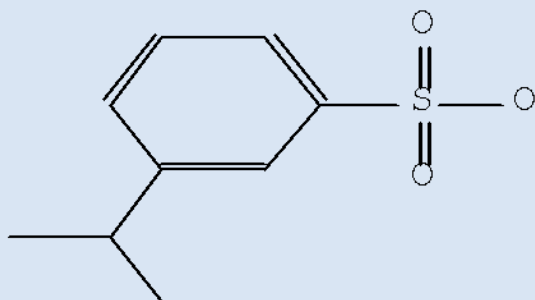
=> D SCAN L13

L13 43 ANSWERS WPIX COPYRIGHT 2011 THOMSON REUTERS on STN  
CN.P SODIUM CUMENE SULFONATE  
CN.S sodium cumene sulfonate  
MF Na . C9 H12 O3 S

CM 1

Na

CM 2



The search retrieves a precisely defined group of compounds (L13).

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):2

. . . .

# Retrieve the corresponding DWPI records

=> S L13/DCR

L14 4488 S L13/DCR

The 43 substance records (L13) correspond to 4,448 bibliographic records in DWPI (L14).

=> S L14(P)(Q601 OR Q602 OR Q603 OR Q608 OR Q336 OR Q272 OR Q273 OR Q616)/M0,M2,M3

L15 684 S L14(P)(Q601 OR Q602 OR Q603 OR Q608 OR Q336 OR Q272 OR Q273 OR Q616)/M0,M2,M3

Limit the DWPI search to surfactant and detergent uses (below) (L15).

Q616 = Surfactant.

Q272 = Non-soap detergent.

Q273 = Soap compositions.

Q336 = Cleaning compositions.

Q601 = Anticaking.

Q602 = Antifoam.

Q603 = Antistatic.

Q608 = Foaming agent; Foam stabilizer.

# Retrieve the unique DWPI records

=> TRANSFER L11 1- PNK

L16                    TRA L11 1- PNK :

L17                    4768 SEA L16/PNK

Transfer PNK from CPlus (L11)  
to retrieve the corresponding  
records in DWPI (L17).

=> S L15 NOT L17

L18                    449 S L15 NOT L17

Retrieve the unique  
DWPI records (L18).

# Example: unique DWPI answer retrieved

=> D L18 BIB HITCMC FRAGHITSTR 2

```
L18 ANSWER 2 OF 449 WPIX COPYRIGHT 2011 THOMSON REUTERS on STN
AN 2011-H44227 [201145] WPIX Full-text
TI Servicing wellbore involves placing composition comprising surfactant,
   brine, and oleaginous fluid in annular space of wellbore, where
   composition forms microemulsion under low shear conditions
DC E19; H01
IN HARRISON D J; VAN ZANTEN R
PA (HALL-C) HALLIBURTON ENERGY SERVICES INC;
CYC 113
PIA US 20110160103 A1 20110630 (201145)* EN
   WO 2011080506 A1 20110707 (201145) EN
ADT US 20110160103 A1 US 2009-649819 20091230; WO 2011080506 A1
   WO 2010- GB2342 20101229
PRAI US 2009-649819 20091230
CMC UPB 20110718
M3 *19* A111 A960 C710 G011 G100 K0 K4 K431 K432 M225 M231 M240 M281
   M320 M411 M510 M520 M531 M540 M782 Q412 Q616 R023 M905 M904
   DCN: RACZ8F-K RACZ8F-M RAVIYP-K RAVIYP-M
   DCR: 840197-K 840197-M
M3 *20* A111 A960 C710 G012 G100 K0 K4 K431 K432 M225 M231 M240 M281
   M320 M411 M510 M520 M531 M540 M630 M782 Q412 Q616 R023 M905
   M904
   DCN: RAXA2T-K RAXA2T-M
   DCR: 1814674-K 1814674-M
M3 *21* A111 A960 C710 G013 G100 K0 K4 K431 M225 M231 M240 . . . .
```

HITCMC display format,  
shows only the indexing  
with the hit search terms.

Q616 = Surfactant.

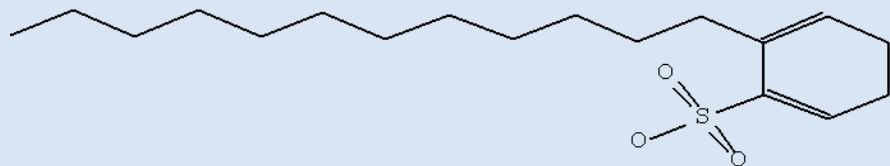
# Example: unique DWPI answer retrieved (cont.)

AN.S DCR-840197  
CN.S Sodium; 2-dodecyl-benzenesulfonate  
SDCN RACZ8F; RAVIYP

CM 1

Na

CM 2



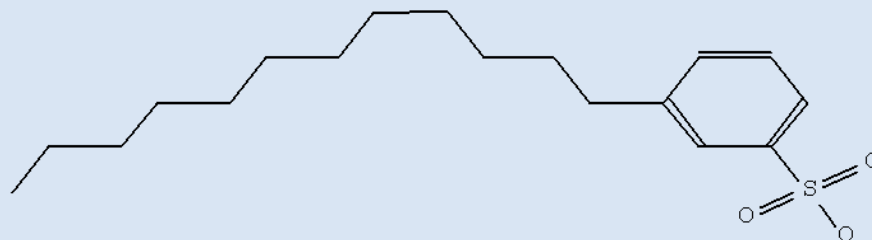
The fragmentation code hit structure (**FRAGHITSTR**) display shows the hit DCR structure from the **HITCMC** paragraphs (previous slide).

AN.S DCR-1814674  
SDCN RAXA2T

CM 1

Na

CM 2



...

# DWPI Classifications (/DC) and Manual Codes (/MC) for detergents and surfactants

- D24 /DC – Soap
  - Metal salts of fatty acids used for cleaning
- D25 /DC – Detergents
  - Other than soap (D24) and used for cleaning
- D11+*NT* /MC
  - Detergents, soaps, glycerol
- D08-B13 /MC
  - Surfactants in cosmetics
- A08-S+*NT* /MC
  - Surfactant additives in polymer science
- B12-M09 /MC
  - Surfactants in pharmaceutical formulations

# Summary

- Surfactant subject indexing CAplus
- Surfactant substance indexing in REGISTRY
- Extending searches to include DWPI

# Acknowledgement

The CAS database related slides were prepared by:

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FIZ Karlsruhe

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# DWPI resources

- DWPI on STN User Documentation
  - [http://www.stn-international.com/stn\\_dwpi.html](http://www.stn-international.com/stn_dwpi.html)
  - DWPI on STN Reference Manual
  - DWPI on STN Workshop Manual
  - DWPI Classification (DC) guide
  - Summary table of member level data coverage
  - Global Patent Sources – DWPI coverage in detail
  - Chemistry, Engineering and Polymer User Guides
- DWPI on STN database summary sheet
  - <http://www.stn-international.com/wpindex.html>

# Recorded STN e-Seminars are available to watch at your own pace....

- FIZ Karlsruhe recorded e-Seminars:

[http://www.stn-international.com/recorded\\_events.html](http://www.stn-international.com/recorded_events.html)

- Introduction to Derwent World Patents Index (DWPI)
- Searching DWPI Chemistry Resource (DCR)

- CAS recorded e-Seminars:

<http://www.cas.org/support/stngen/stntraining/recorded.html>

- Revisiting the basics of structure searching
- STN: Advanced Structure Searching
- Structure drawing in STN

# STN<sup>®</sup>

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CAS

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